

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Safety and Enforcement Division Rail Transit and Crossings Branch Rail Transit Safety Section	Resolution ST-155 September 5, 2013
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R E S O L U T I O N**RESOLUTION ST-155 GRANTING APPROVAL OF THE FINAL
REPORT ON THE 2012 TRIENNIAL ON-SITE SAFETY REVIEW OF
SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY**

SUMMARY

This Resolution grants the Safety and Enforcement Division final safety review report titled "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013. San Francisco Municipal Transportation Agency is ordered to implement the recommendations contained in the report and to provide monthly progress reports.

BACKGROUND

Commission General Order 164-D, *Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems*, requires the Rail Transit Safety Section (RTSS) Staff (Staff) to conduct on-site safety reviews of transit agencies operating Rail Fixed Guideway Systems at least once every three years.

Staff conducted an on-site safety review of San Francisco Municipal Transportation Agency (SFMTA) beginning October 15, 2012 and concluding October 26, 2012. Staff conducted a post-review exit conference with SFMTA management on December 13, 2012.

The methods used to conduct the safety review included:

- a. Interviews with SFMTA employees at all levels from rank and file through SFMTA management;

- b. Review of design, construction, procurement, testing, training, operation and maintenance programs;
- c. Review of records and procedures;
- d. Observations of operations and maintenance activities; and
- e. Inspections and measurements of equipment and infrastructure.

A full description of the safety review, including the scope, results, and recommendations, is contained in the final safety review report, entitled "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013, included in this resolution as Attachment A.

The safety review results show SFMTA is for the most part in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the review. These non-compliant items along with recommendations for corrective actions are described, where applicable, in the checklist Findings/Comments/Recommendations section and in the body of the final safety review report. A recommendations summary list requiring corrective action is contained in Appendix C of the report.

Staff sent SFMTA a draft safety review report for a 30-day review and comment period on December 31, 2012. On January 31, 2013, SFMTA's Chief Safety Officer submitted a memorandum containing SFMTA's comments for the draft report, mentioning that overall, SFMTA agrees with the CPUC findings in the report and items for clarification and correction before CPUC issues its final safety review report.

DISCUSSION

The final safety review report, "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013, is included as Attachment A. The report is based on Staff findings and includes recommendations.

SFMTA has stated to Staff that it has or will develop corrective action plans to address all recommendations as soon as it receives the final safety review report. SFMTA will provide monthly status updates of their corrective actions until completion.

The Commission Safety and Enforcement Division (SED) recommends that the Commission approve the final safety review report titled, "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013. SED also recommends that the Commission order SFMTA to:

- Submit a report to the RTSS, which identifies the corrective action status for safety program areas of non-compliance identified by Staff;
- Submit plans and schedules for implementing and completing the recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plans and schedules submitted; and
- Provide the RTSS with monthly progress reports on the status of the corrective actions until they are completed and implemented.

NOTICE

On August 2, 2013, Staff's request for approval of the final safety review report titled "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013, was published on the Commission's Daily Calendar.

COMMENTS

The draft resolution of the SED in this matter was mailed in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. No comments were received.

FINDINGS

1. The RTSS Staff conducted an on-site safety review of SFMTA beginning October 15, 2012 and concluding October 26, 2012. Staff conducted a post-review exit conference with SFMTA management on December 13, 2012.
2. The safety review results show SFMTA is for the most part in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the safety review. The areas of non-compliance along with recommendations for corrective actions are described,

where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report.

3. SFMTA has stated to Staff that they accept all the recommendations as stated in the draft report and will be developing the necessary corrective action plans.

THEREFORE, IT IS ORDERED THAT:

1. The Safety and Enforcement Division's request for approval of the final safety review report titled "2012 Triennial On-Site Safety Review of San Francisco Municipal Transportation Agency (SFMTA)," dated July 5, 2013, is granted.
2. The San Francisco Municipal Transportation Agency shall submit to the Rail Transit Safety Section plans and schedules for implementing all recommended corrective actions contained in the final safety review report. Those plans and schedules shall be submitted no later than 45 days from the effective date of this resolution.
3. The San Francisco Municipal Transportation Agency shall complete and implement all recommended corrective actions contained in the report, in accordance with the plans and schedules submitted to the Rail Transit Safety Section.
4. The San Francisco Municipal Transportation Agency shall prepare and provide monthly status reports to the Rail Transit Safety Section. The reports shall contain detailed information on the implementation of all remaining recommended corrective actions contained in the final safety review report. Monthly status reports shall be provided until all recommended corrective actions are implemented and completed.

5. This Resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed and adopted at a conference of the Public Utilities Commission of the State of California held on September 5, 2013; the following Commissioners voting favorably thereon:

PAUL CLANON
Executive Director